



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Martha L. Tate et al.  
 Serial No.: 10/028,027  
 Filed: December 22, 2001

Docket No.: 15,855  
 Group: 3761  
 Examiner: C. Anderson

U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
MK	A1	US 2001/0006666 A1	07/2001	Harbeck			
	A2	3,305,392	02/1967	Britt			
	A3	3,489,148	01/1970	Duncan et al.			
	A4	3,585,998	06/1971	Hayford et al.			
	A5	3,724,465	04/1973	Duchane			
	A6	3,756,238	09/1973	Hanke			
	A7	3,814,101	06/1974	Kozak			
	A8	3,821,350	06/1974	Suchane			
	A9	3,881,488	05/1975	Delanty et al.			
	A10	3,896,807	07/1975	Buchalter			
	A11	3,902,493	09/1975	Baier et al.			
	A12	4,040,857	08/1977	Lissant			
	A13	4,100,324	07/1978	Anderson et al.			
	A14	4,164,563	08/1979	Chang			
	A15	4,273,786	06/1981	Kraskin			
	A16	4,343,783	08/1982	Hooper et al.			
	A17	4,355,020	10/1982	Kuy			
	A18	4,355,046	10/1982	Suess			
	A19	4,556,560	12/1985	Buckingham			
	A20	4,604,313	08/1986	McFarland et al.			
	A21	4,613,447	09/1986	Hara et al.			
	A22	4,623,339	11/1986	Ciraldo et al.			
	A23	4,634,438	01/1987	Sustmann et al.			
	A24	4,634,439	01/1987	Sustmann et al.			
	A25	4,637,820	01/1987	Marini et al.			
	A26	4,655,756	04/1987	Fawkes			
	A27	4,657,537	04/1987	Zimmerer			
	A28	4,675,014	06/1987	Sustmann et al.			
	A29	4,685,909	08/1987	Berg et al.			
	A30	4,711,780	12/1987	Fahim			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner: *Nichelle Kidwell* Date Considered: *8/9/04*



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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
mk	A31	4,732,797	03/1988	Johnson et al.			
	A32	4,738,678	04/1988	Paulis			
	A33	4,753,643	06/1988	Kassai			
	A34	4,753,647	06/1988	Curtis			
	A35	4,760,096	07/1988	Sakai et al.			
	A36	4,772,501	09/1988	Johnson et al.			
	A37	4,790,836	12/1988	Brecher			
	A38	4,790,840	12/1988	Cortina			
	A39	4,798,603	01/1989	Meyer et al.			
	A40	4,808,175	02/1989	Hansen			
	A41	4,834,737	05/1989	Khan			
	A42	4,861,405	08/1989	Kassai			
	A43	4,882,204	11/1989	Tenenbaum			
	A44	4,911,932	03/1990	Clum et al.			
	A45	4,931,052	06/1990	Feldman			
	A46	4,960,592	10/1990	Hagen et al.			
	A47	4,978,534	12/1990	Saitoh			
	A48	4,990,144	02/1991	Blott			
	A49	4,996,238	02/1991	Matravers			
	A50	5,043,155	08/1991	Puchalski et al.			
	A51	5,049,440	09/1991	Bornhoeft, III et al.			
	A52	5,110,593	05/1992	Benford			
	A53	5,139,790	08/1992	Snipes			
	A54	5,141,803	08/1992	Pregozen			
	A55	5,147,576	09/1992	Montague et al.			
	A56	5,176,668	01/1993	Bernardin			
	A57	5,176,672	01/1993	Bruemmer et al.			
	A58	5,192,277	03/1993	Chung et al.			
	A59	5,192,606	03/1993	Proxmire et al.			
mk	A60	5,194,261	03/1993	Pichierri			
	A61	5,232,691	08/1993	Lemole			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
MLK	A62	5,244,668	09/1993	Snipes			
	A63	5,306,486	04/1994	McCook et al.			
	A64	5,336,212	08/1994	De Francesco			
	A65	5,336,692	08/1994	Gans et al.			
	A66	5,350,624	09/1994	Georger et al.			
	A67	5,362,488	11/1994	Sibley et al.			
	A68	5,364,382	11/1994	Latimer et al.			
	A69	5,376,655	12/1994	Imaki et al.			
	A70	5,384,125	01/1995	DiPippo et al.			
	A71	5,409,903	04/1995	Polak et al.			
	A72	5,436,007	07/1995	Hartung et al.			
	A73	5,466,232	11/1995	Cadieaux et al.			
	A74	5,482,765	01/1996	Bradley et al.			
	A75	5,486,166	01/1996	Bishop et al.			
	A76	5,490,846	02/1996	Ellis et al.			
	A77	5,496,298	03/1996	Kuepper et al.			
	A78	5,509,915	04/1996	Hanson et al.			
	A79	5,525,346	06/1996	Hartung et al.			
	A80	5,533,990	07/1996	Yeo			
	A81	5,569,230	10/1996	Fisher et al.			
	A82	5,578,310	11/1996	M'Timkulu et al.			
	A83	5,601,871	02/1997	Krzysik et al.			
	A84	5,605,749	02/1997	Pike et al.			
	A85	5,607,760	03/1997	Roe			
	A86	5,607,980	03/1997	McAtee et al.			
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	A88	5,614,293	03/1997	Krzysik et al.			
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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
MLK	A93	5,643,588	07/1997	Roe et al.			
	A94	5,643,899	07/1997	Elias et al.			
	A95	5,648,083	07/1997	Blieszner et al.			
	A96	5,650,218	07/1997	Krzysik et al.			
	A97	5,652,049	07/1997	Suzuki			
	A98	5,652,194	07/1997	Dyer et al.			
	A99	5,658,559	08/1997	Smith			
	A100	5,665,368	09/1997	Lentini et al.			
	A101	5,693,037	12/1997	Lee et al.			
	A102	5,695,868	12/1997	McCormack			
	A103	5,738,859	04/1998	Posner			
	A104	5,801,107	09/1998	Everhart et al.			
	A105	5,830,487	11/1998	Klofta et al.			
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	A107	5,849,314	12/1998	Dobkowski et al.			
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	A113	5,869,172	02/1999	Caldwell			
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	A115	5,879,341	03/1999	Odorzynski et al.			
	A116	5,891,126	04/1999	Osborn, III et al.			
	A117	5,938,649	08/1999	Ducker et al.			
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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
mk	A124	6,051,749	04/2000	Schulz			
	A125	6,075,179	06/2000	McCormack et al.			
	A126	6,100,442	08/2000	Samuelsson et al.			
	A127	6,103,245	08/2000	Clark et al.			
	A128	6,107,537	08/2000	Elder et al.			
	A129	6,117,439	09/2000	Kake			
	A130	6,118,041	09/2000	Roe et al.			
	A131	6,120,488	09/2000	Van Rijswijck et al.			
	A132	6,136,332	10/2000	Grollier et al.			
	A133	6,149,934	11/2000	Krzsik et al.			
	A134	6,152,906	11/2000	Faulks et al.			
	A135	6,153,209	11/2000	Vega et al.			
	A136	6,156,024	12/2000	Schulte et al.			
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	A138	US 6,183,766 B1	02/2001	Sine et al.			
	A139	US 6,217,890 B1	04/2001	Paul et al.			
	A140	US 6,238,682 B1	05/2001	Klofta et al.			
	A141	US 6,287,581 B1	09/2001	Krzsik et al.			
	A142	US 6,294,186 B1	09/2001	Beerse et al.			
	A143	US 6,309,736 B1	10/2001	McCormack et al.			
mk	A144	US 6,316,030 B1	11/2001	Kropf et al.			
	A145	US 6,344,218 B1	02/2002	Dodd et al.			

Foreign Patent Documents								
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mk	A1	CA 2,019,557 A1	12/1990	Canada			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A2	DE 35 36 318 A1	04/1987	Germany - Eng. abstr.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A3	DE 41 36 540 A1	05/1992	Germany - Eng. abstr.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A4	EP 0 212 870 B1	04/1992	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A5	EP 0 613 675 A1	09/1994	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
mk	A6	EP 0 797 968 A1	10/1997	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>

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	A8	EP 0 815 841 A1	01/1998	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A9	EP 0 875 233 A1	11/1998	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A10	EP 0 922 452 A1	06/1999	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A11	EP 0 922 456 A1	06/1999	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A12	EP 1 057 476 A1	12/2000	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A13	GB 880,276	10/1961	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A14	GB 884,688	12/1961	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A15	GB 2 033 751 A	05/1980	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A16	GB 2 311 727 A	10/1997	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A18	WO 92/02989 A1	06/1992	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A19	WO 93/16670 A1	09/1993	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A25	WO 96/16682 A1	06/1996	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A28	WO 97/38735 A1	10/1997	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A29	WO 97/38738 A1	10/1997	PCT - World - Eng. abst.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A30	WO 98/03147 A1	01/1998	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A31	WO 98/47546 A1	10/1998	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A32	WO 98/55159 A2	12/1998	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A33	WO 99/12530 A1	03/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A34	WO 99/13861 A1	03/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A35	WO 99/26610 A1	06/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A36	WO 99/26618 A1	06/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>

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mk	A37	WO 99/26619 A1	06/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A38	WO 99/45771 A1	09/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A39	WO 99/45973 A1	09/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A42	WO 99/46316 A2	09/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A45	WO 00/64503 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A46	WO 00/69481 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A47	WO 00/69482 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A48	WO 00/69483 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A49	WO 00/69484 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
mk	A50	WO 00/71177 A1	11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>

Other Documents		
Initials		Author, Title, Date, Pages, etc.
mk	A1	Patent Abstracts of Japan 02043265 A: Description of Masaru et al. , "THIXOTROPIC SEMISOLID COMPOSITION."
mk	A2	Patent Abstracts of Japan 02053709 A: Description of Masaru et al. , "THIXOTROPIC SEMI-SOLID COMPOSITION."
mk	A3	Patent Abstracts of Japan 06065104 A: Description of Michio, "POWDER COMPOSITION FOR DERMATIC APPLICATION PREVENTED FROM SCATTERABILITY."
mk	A4	Patent Abstracts of Japan 07267839 A: Description of Yoshiko et al. , "OINTMENT COMPOSITION ADHESIVE TO ORAL MUCOSA."
mk	A5	Patent Abstracts of Japan 09151112 A: Description of Yasuhiro et al. , "MICROEMULSION COMPOSITION."
mk	A6	Patent Abstracts of Japan 10037070 A: Description of Takeo, "ANTIMICROBIAL FIBER CONTAINING CATECHIN OF GREEN TEA COMPONENT."

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Other Documents		
Initials		Author, Title, Date, Pages, etc.
mk	A7	Patent Abstracts of Japan 10306039 A: Description of Christine et al. , "SOLID TOPICAL AQUEOUS COMPOSITION CAPABLE OF FORMING FILM, WHEN APPLIED, AND HAVING GEL APPEARANCE."
mk	A8	Patent Abstracts of Japan 55025430 A: Description of Mikio et al. , "THICKENING AND GELLING AGENT."
mk	A9	Patent Abstracts of Japan 56110611 A: Description of Mitsue et al. , "PREPARATION OF OINTMENT EMBROCATION FOR SKIN."
mk	A10	Patent Abstracts of Japan 59053409 A: Description of Susumu et al. , "BASE COMPOSITION AND PHARMACEUTICAL COMPOSITION FOR EXTERNAL USE."
mk	A11	Patent Abstracts of Japan 59122420 A: Description of Katsuo et al. , "LOCAL OINTMENT."
mk	A12	Patent Abstracts of Japan 59227816 A: Description of Kenji et al. , "SKIN CLEANING AND WIPING AGENT COMPOSITION."
mk	A13	Patent Abstracts of Japan 60006759 A: Description of Tadashi et al. , "WATER-DISPERSIBLE RESIN FOR CATAPLASM."
mk	A14	Patent Abstracts of Japan 61129117 A: Description of Sakahito et al. , "ALOE-CONTAINING CATAPLASM."
mk	A15	Patent Abstracts of Japan 61194014 A: Description of Hiroshi et al. , "HYDROPHILIC BASE."
mk	A16	Patent Abstracts of Japan 63264413 A: Description of Kazuo, "GABEXATE MESYLATE OINTMENT."
mk	A17	American Society for Testing Materials (ASTM) Designation: D 1321-92, "Standard Test Method for Needle Penetration of Petroleum Waxes," pp. 483-485, published December 1992.
mk	A18	American Society for Testing Materials (ASTM) Designation: D 3236-88, "Standard Test Method for Apparent Viscosity of Hot Melt Adhesives and Coating Materials," pp. 326-331, published December 1988.
mk	A19	Federal Test Method Standard (FTMS) No. 191A, Method 5514, "Water Resistance of Cloth; Low Range, Hydrostatic Pressure Method," July 20, 1978, 3 pages.

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Other Documents		
Initials		Author, Title, Date, Pages, etc.
MK	A20	Federal Test Method Standard (FTMS) No. 191A, Method 5450, "Permeability To Air; Cloth; Calibrated Orifice Method," July 20, 1978, 5 pages.
MK	A21	Akin, Frank J. et al., "A Refined Method to Evaluate Diapers for Effectiveness in Reducing Skin Hydration Using the Adult Forearm," <u>Skin Research and Technology</u> , 1997, pp. 173-176.
MK	A22	Barbero, G.J. et al., "Stool Trypsin and Chymotrypsin," <u>American Journal of Diseases of Children</u> , Vol. 112, July through December, 1966, pp. 536-540.
MK	A23	Barry, B.W. and A.J. Grace, "Investigation of Semisolid Lipophilic Preparations by Small Strain and Continuous Shear Viscometry and Their Application to Texture Profile," <u>Journal of Pharmaceutical Sciences</u> , Vol. 60, No. 6, June 1971, pp. 814-820.
MK	A24	Berg, Ronald W. et al., "Association of Skin Wetness and pH With Diaper Dermatitis," <u>Pediatric Dermatology</u> , Vol. 11, No. 1, March 1994, pp. 18-20.
MK	A25	Boylan, James C., "Rheological Study of Selected Pharmaceutical Semisolids," <u>Journal of Pharmaceutical Sciences</u> , Vol. 55, No. 7, July 1966, pp. 710-715.
MK	A26	Braudo, E.E. et al., "The Effect Produced by the Green Tea Components and Tannin on the Fermentative Activity of Trypsin in Vitro," <u>Vopr Pitan</u> , Vol. 27, Issue No. 6, November-December 1968, pp. 40-44. (Russian w/English summary)
MK	A27	Bremecker, K.D. et al., "Novel Concept for a Mucosal Adhesive Ointment," <u>Journal of Pharmaceutical Sciences</u> , Vol. 73, No. 4, April 1984, pp. 548-552.
MK	A28	Davies, Owen L. and Peter L. Goldsmith, editors, <u>Statistical Methods in Research and Production</u> , Fourth Revised Edition, published by Longman Inc., New York, 1984, pg. 460.
MK	A29	Davis, S.S. et al., "Some Limitations of Continuous Shear Methods for the Study of Pharmaceutical Semi-Solids," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 20, Supplemental Issue, December 1968, pp.157S-167S.
MK	A30	Drechsler, Lee Ellen et al., "The Wipe: A Carrier of Skin Benefits," <u>Cosmetics &amp; Toiletries</u> , Vol. 116, No. 10, October 2001, pp. 33-36, 38, 40, 42.
MK	A31	Eccleston, G.M. et al., "Correlation of Viscoelastic Functions for Pharmaceutical Semisolids: Comparison of Creep and Oscillatory Tests for Oil-in-Water Creams Stabilized by Mixed Emulsifiers," <u>Journal of Pharmaceutical Sciences</u> , Vol. 62, No. 12, December 1973, 1954-1961.

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Examiner: Michele Kidwell Date Considered: 8/9/04



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Martha L. Tate et al.  
 Serial No.: 10/028,027  
 Filed: December 22, 2001

Docket No.: 15,855  
 Group: 3761  
 Examiner: C. Anderson

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mk	A32	Eccleston, G.M., "Structure and Rheology of Cetomacrogol Creams: The Influence of Alcohol Chain Length and Homologue Composition," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 29, No. 3, March 1977, pp. 157-162.
mk	A33	Eros, I. and A. Thaleb, "Rheological Studies of Creams: I. Rheological Functions and Structure of Creams," <u>Acta Pharmaceutica Hungarica</u> , Vol. 64, No. 3, May 1994, pp. 101-103.
mk	A34	Fuhrer, C., "Gel Structure of Fatty Alcohols in Ointment Bases," <u>Pharmazie</u> , Vol. 26, No. 1, January 1971, pp. 43-5. (German)
mk	A35	Haverback, Bernard J. et al., "Measurement of Trypsin and Chymotrypsin in Stool: A Diagnostic Test for Pancreatic Exocrine Insufficiency," <u>Gastroenterology</u> , Vol. 44, 1963, pp. 588-597.
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mk	A38	Kedzierewicz, F. et al., "Preparation of Silicone Microspheres by Emulsion Polymerization: Application to the Encapsulation of a Hydrophilic Drug," <u>Journal of Microencapsulation</u> , Vol. 15, No. 2, March-April 1998, pp. 227-236.
mk	A39	Muguet, V. et al., "Formulation of Shear Rate Sensitive Multiple Emulsions," <u>Journal of Controlled Release</u> , Vol. 70, No. 1-2, January 29, 2001, pp. 37-49.
mk	A40	Odio, Mauricio R. et al., "Continuous Topical Administration of a Petrolatum Formulation by a Novel Disposable Diaper. 1. Effect on Skin Surface Microtopography," <u>Dermatology</u> , 2000; 200(3):232-237.
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mk	A42	Pena, Lorraine E. et al., "Structural Rheology of a Model Ointment," <u>Pharmaceutical Research</u> , Vol. 11, No. 6, June 1994, pp. 875-881.
mk	A43	Popovici, Iuliana et al., "The Physico-Chemical Characterization and Therapeutic Evaluation of Cicatrol," <u>Revista Medico-Chirurgicala Societatii Medici si Naturalisti din Lasi</u> , Vol. 96, No. 1-2, January-June 1992, pp. 57-64. <i>Abstract only</i>

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Examiner: *Michelle Kidwell* Date Considered: *8/9/04*



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Other Documents		
Initials		Author, Title, Date, Pages, etc.
mk	A44	Preston, Sandra L. et al., "Etiology and Treatment of Diaper Dermatitis," <u>Hospital Pharmacy</u> , Vol 29, No. 12, December 1994, pp. 1086-1088, 1097.
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mk	A46	Sires, Ulrike I. and Susan B. Mallory, "Diaper Dermatitis - How to Teant and Prevent," <u>Postgraduate Medicine</u> , Vol. 98, No. 6, December 1995, pp. 79-82, 84, 86.
mk	A47	Taleb, A. and I. Eros, "Rheological Studies of Creams. II. Effect of Water Content on Rheological Characteristics," <u>Acta Pharmaceutica Hungarica</u> , Vol. 66, No. 2, March 1996, pp. 71-76.
mk	A48	Tamburic, S. et al., "An Investigation Into the Use of Thermorheology and Texture Analysis in the Evaluation of W/O Creams Stabilized With a Silicone Emulsifier," <u>Pharmaceutical Development Technology</u> , Vol. 1, No. 3, October 1996, pp. 299-306.
mk	A49	Vinson, Joe and John Proch, "Inhibition of Moisture Penetration to the Skin by a Novel Incontinence Barrier Product," <u>Journal of Wound Ostomy Continence Nursing</u> , Vol. 25, No. 5, September 1998, pp. 256-260.
mk	A50	Zielinski, Ruth and Elizabeth Hanson, "Diaper Dermatitis: Medical Aspects of Skin Care," <u>Nonwovens World</u> , February-March 2000, pp. 60-65.

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MLK	A2	6,074,672 •	06/2000	Dobkowski et al.			
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	A7						
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Foreign Patent Documents								
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	A2	5,091,193	02/1992	Enjolras et al.				
mk	A3	6,031,147	02/2000	Gross				
	A4	6,093,869	07/2000	Roe et al.				
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U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
MLT	A1	4,904,524	02/1990	Yoh			
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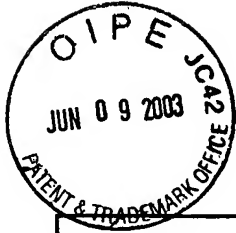
  

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Foreign Patent Documents								
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